



SOLUTION SHEET:

Trading and Rebalancing

HYPER-EFFICIENT PORTFOLIO TRADING AND REBALANCING AT SCALE

The d1g1t Trading solution enables advisors to dramatically lower the costs of implementing and tracking complex investment strategies. Firms can conduct single and bulk trading operations hyper-efficiently and rebalance portfolios using intuitive workflows. They can also execute trades via FIX protocols. Fully integrated into the d1g1t enterprise wealth management platform makes deployment quick and easy, and drives operational efficiencies through integrated reporting, billing, and compliance workflows that leverage a single source of truth. The solution helps Portfolio Managers quickly execute trades across thousands of portfolios and rebalance at scale.

Rebalance Portfolios in Minutes

Using the SMA or UMA framework, firms can implement complex investment strategies in a single custodian account and optimize portfolio management or they can create multiple sleeves within a single custodian account and associate a model portfolio (SMA model) with each sleeve. They can also create non-participating sleeves to hold legacy or concentrated positions that advisors want to exclude from the automatic rebalancing process. This enables firms to fund and rebalance each sleeve separately and to track the performance of individual sleeves, or models holistically. They can also create multiple types of sleeves for different purposes such as cash and overlay risk management and set up non-participating sleeve(s) to hold legacy and concentrated positions.

KEY BENEFITS:

- Easy to deploy and manage
- Quickly execute trades
- Efficiently conduct single trades
- Execute bulk trades
- Rebalance portfolios using intuitive workflows
- Significantly lower cost

Streamline Rebalancing with Trading Configurations

Providing a framework to support client-specific needs and constraints enables firms to seamlessly rebalance at scale. With a trading substitution, a trade made in a model position can be dynamically substituted into another security. Portfolio Managers can leverage substitutions to set up different securities for buy/sell operations. This also allows for the seamless management of a fund series. Trading restrictions, suspensions, and exclusions allow for enhanced due diligence by enabling firms to confidently manage their portfolios while being conscious of trading and regulatory considerations.

Automate Trading Workflows

The following trading workflows are supported:

- Portfolio Rebalancing (Rebalance All or Outside Bounds)
- Rebalance Models (or Sub-Models)
- Rebalance Securities
- Cash Management (Raise or Deploy Cash)
- Bulk Security Switches
- Liquidate Portfolios

The platform is available in English and French.
For more information on the d1g1t wealth management platform, email us at getintouch@d1g1t.com.

Virtual Cash Transfers

Enable the ability to create temporary cash deposits or withdrawals. The primary objective is to allow Portfolio Managers to quickly add and/or remove cash from an account knowing that a certain deposit and/or withdrawal is forthcoming. This allows Portfolio Managers to proactively model trades while distinguishing real and virtual cash transfers.

Simplify Compliance

Trade approval files and confirms can be generated by d1g1t and shared with appropriate parties.

About d1g1t

d1g1t is a leading wealth management technology provider that helps RIAs, Multi-family offices, Broker Dealers, Custodians, and Bank Advisor Networks transition to a digital business model. Our award-winning Enterprise Wealth Management Platform is designed to transform the patchwork of legacy systems with an integrated platform that drives scale and operational efficiencies across the organization. Powered by an institutional-grade performance and risk engine, d1g1t provides on-demand

analytics consistently used across integrated workflows for reporting, billing, trading, compliance, and client engagement activities. d1g1t provides advisors and their clients with the best digital experience available in the market, enabling firms to elevate the quality of their advice and demonstrate its value to clients.

Learn more at www.d1g1t.com.

